PTO/SB/21(08/00)
Approved for use through 19/30/2000. OMB 0651-0031
demark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person	s are required to	respond to a collection of informatio	n unless it displays a valid OMB control number.	
	-	Application Number	09/059,533	
TRANSMITTAL FORM  (to be used for all correspondence after initial filing)		Filing Date	April 13, 1998	
		First Named Inventor	Jerrold V. Hauck	
		Group Art Unit	2133	
		Examiner Name	Joseph D. Torres	
Total Number of Pages in This Submission	9	Attorney Docket Number	42390P5379	
	ENCLO	OSURES (check all tha	t apply)	
Soo Transmittel Form	Assign Assign	ment Papers	After Allowance Communication to Group	

ENCLOSURES (check all that apply)						
Fee Transm	nittal Form	Assignme	ent Papers oplication)			After Allowance Communication to Group
Fee A	ttached	Drawing(s	5)			Appeal Communication to Board of Appeals and Interferences
	t / Response	Licensing	-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Affida	Final avits/declaration(s)	Petition				Proprietary Information
Extension	of Time Request	Provision	o Convert a al Application			Status Letter
Express Ab	pandonment Request	Change o	Attorney, Revocati of Correspondence	ion Address	$\boxtimes$	Other Enclosure(s) (please identify below):
	n Disclosure Statement		Disclaimer			Return receipt postcard
Document(	opy of Priority (s) to Missing Parts/		for Refund			RECEIVE
Incomplete	Application		Del 0: OD(s)	·		JAN 3 0 200
Respo	onse to Missing under 37 CFR or 1.53	Remarks				Technology Center
						-
	SIGNATUR	E OF APPLICA	ANT, ATTORNE	, OR A	GENT	
Firm	Thomas M. Co	ester, Reg. No	. 39,637			·
or Individual name	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN					
Signature	Thomas Coestes					
Date	Date January 7, 2002					
CERTIFICATE OF MAILING (OR TRANSMISSION)						

	CERTIFICATE OF MAILING (	OR TRANSMISSION)	
	rrespondence is being deposited with the Unissistant Commissioner for Patents, Washington		
Typed or printed name	Diane Martinez		
Signature	Dare Montinez	Date	01/07/02

Burden Hour Statement: This form is estimated to take 0.2 hours of complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
a collection of information unless it displays a valid OMB control number. der the Paperwork Reduction Act of 1995, no persons are required to res

## TRANSMITTAL for FY 2002

TOTAL AMOUNT OF PAYMENT

0.00

porto to a conconor or micrirization articos it elephays a same con-				
Complete if Known				
Application Number	09/059,533			
Filing Date	04/13/98			
First Named Inventor	Jerrold V. Hauck, et al.			
Examiner Name	Joseph D. Torres			
Group Art Unit	2133			
Attorney Docket Number	42390P5379			

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)	
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	3. ADDITIONAL FEE	
indicated fees and credit any over payments to:	Large Entity Small Entity	
Deposit	Fee Fee Fee Fee Description Fee Paid	
Account Number 02-2666	Code (\$) Code (\$)	
Deposit	105 130 205 65 Surcharge - late filing fee or oath	
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	127 50 227 25 Surcharge - late provisional filing fee or cover sheet.	EIVED
Charge Any Additional Fee Required	139 130 139 130 Non-English specification	
Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17	147 2,520 147 2,520 For filing a request for ex parte reexamination	ร ก วกกว
Applicant claims small entity status. See 37 CFR 1.27	112 920 112 920 Requesting publication of SIR prior to	3 0 2002
2. Payment Enclosed:	Examiner action	v Center 210
☐ Check ☐ Money ☐ Other	113 1,840 113 1,840 Requesting publication of SIR after Examiner action	y Center 210
	115 110 215 55 Extension for response within first month	
FEE CALCULATION	116 400 216 200 Extension for response within second month	
1. FILING FEE	117 920 217 460 Extension for response within third month	
Large Entity Small Entity	118 1,440 218 720 Extension for response within fourth month	
Fee Fee Fee Fee Description Fee Paid	128 1,960 228 980 Extension for response within fifth month	
Code (\$) Code (\$)	119 320 219 160 Notice of Appeal	
101 740 201 370 Utility filing fee	120 320 220 160 Filing a brief in support of an appeal	
106 330 206 165 Design filing fee	121 280 221 140 Request for oral hearing	
107 510 207 255 Plant filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding	
108 740 208 370 Reissue filing fee	140 110 240 55 Petition to revive - unavoidably	
114 160 214 80 Provisional filing fee	141 1,280 241 640 Petition to revive - unintentionally	
SUBTOTAL (1) (\$)	142 1,280 242 640 Utility issue fee (or reissue)	
	143 460 243 230 Design issue fee	
2. EXTRA CLAIM FEES Fee from	144 620 244 310 Plant issue fee	
Extra Claims below Fee Paid Total Claims 11 20** - 0 118 00 - \$0.00	122 130 122 130 Petitions to the Commissioner	
Independent 112201 = 0 11000 = 00.00	123 50 123 50 Petitions related to provisional applications	
	126 180 126 180 Submission of Information Disclosure Stmt	
Multiple Dependent Claims =	581 40 581 40 Recording each patent assignment per property (times number of properties)	
Large Entity Small Entity	146 740 246 370 Filing a submission after final rejection	
Fee Fee Fee Fee Description	(37 CFR 1.129(a))	
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	149 740 249 370 For each additional invention to be	
102 84 202 42 Independent claims in excess of 3	examined (37 CFR 1.129(b))	
104 280 204 140 Multiple Dependent claim	179 740 279 370 Request for Continued Examination (RCE)	
109 84 209 42 **Reissue independent claims	169 900 169 900 of a design application	
over original patent  110 18 210 9 **Reissue claims in excess of 20		
and over original patent	Other fee (specify)	
SUBTOTAL (2) (\$) 0.00	aua (a) (a)	
"or number previously paid, if greater, For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)	
SUBMITTED BY	Complete (if applicable)	

Typed or Thomas M. Coester, Reg. No. 39,637 Reg. Number **Printed Name Deposit Account** 01/07/02 02-2666 Signature Date homas